

BUSINESS CLASSIFICATION CERTIFICATION STATEMENT

CONTRACTORS: Please complete the information below. Terms used are taken from the U.S. Small Business Administration (SBA) Rules and Regulations (<https://www.sba.gov>) and the U.S. Code of Federal Regulations (CFR). The term "controlled" refers to the management and daily operation of the business concern.

The company identified below (check all that apply):

1. _____ **IS NOT** a small business concern as defined in the regulations
 _____ **(If you checked here, STOP. GO TO CERTIFICATION BELOW.)**

2. _____ **IS a small business concern**, defined as one that is independently owned and operated,
 _____ is organized for profit, is not dominant in its field, meets the SBA size standard eligibility
 (see reverse side of this form for examples of size standards), is registered and has its
 status represented in the U.S. Government's System for Award Management (SAM)
 database. See <http://www.sba.gov/content/what-sbas-definition-small-business-concern>.
3. _____ **IS a small disadvantaged business concern** of which at least 51% is unconditionally and
 _____ directly owned and controlled by one or more socially disadvantaged and economically
 disadvantaged persons who are U.S. citizens. See [13 CFR 124.105](#) for exceptions.
4. _____ **IS a women-owned small business concern** of which at least 51% is unconditionally and
 _____ directly owned and controlled by one or more women who are U.S. citizens. See [13 CFR 127](#).
5. _____ **IS a HUBZone small business concern** that meets the certification eligibility
 _____ requirements set by the U.S. SBA. See [13 CFR 126](#).
6. _____ **IS a veteran-owned small business concern** of which at least at least 51% is
 _____ unconditionally and directly owned by one or more veterans or service-disabled veterans.
 See [38 CFR 74](#).
6. _____ **IS a service-disabled veteran-owned small business concern** of which at least 51% is
 _____ unconditionally and directly owned by one or more service-disabled veterans. In the case
 of any publicly owned business, not less than 51% of the stock of which is owned by one or
 more service-disabled veterans. The management and daily business operations of which
 are controlled by one or more service-disabled veterans, or in the case of a veteran with a
 permanent and severe disability, a spouse or permanent caregiver of such veteran. See [13 CFR 125.11 et al.](#)

CERTIFICATION

I hereby certify the information supplied herein to be true and correct. (Any misrepresentation shall be subject to the provisions stated in item B on the next page.)

Company Name: _____

Signature of Company Officer

Company Address: _____

Print Name: _____

Title: _____

Date: _____

Type of Goods/Services: _____

North American Industry Classification System (NAICS) Code: _____

A. A small business concern is one that is independently owned and operated, is organized for profit, is not dominant in its field, has a place of business in the U.S., and operates primarily within the U.S. or makes a significant contribution to the U.S. economy. Size standard eligibility is based on the average number of employees for the preceding 12 months or on sales volume averaged over a 3-year period. See [13 CFR 121.201](#) for size standards identified by NAICS codes. The size standards for a few industries are shown below and are subject to change at any time.

1. SPECIALTY TRADE CONTRACTORS – “Small” if average annual receipts for preceding 3 years do not exceed \$15 million.
2. CONSTRUCTION, GENERAL CONTRACTORS – “Small” if average annual receipts for preceding 3 years do not exceed \$36.5 million.
3. MANUFACTURING – “Small” if 500 employees or less, except for some specific products which will increase the complement of employees to 750 or 1,000.
4. TRANSPORTATION – “Small” if average annual receipts for preceding 3 years do not exceed the amount shown for specific services.

\$27.5 million – general freight trucking, local.
5. WHOLESALE TRADE, DURABLE AND NON-DURABLE GOODS – “Small” if 100 employees or less.
6. RETAIL TRADE – “Small” if average annual receipts for preceding 3 years do not exceed the amount shown for specific products.

\$7.5 million – hardware stores.
7. SERVICES – “Small” if average annual receipts for preceding 3 years do not exceed the amount shown for specific services:
 - a) \$27.5 million – computer systems design services, custom computer programming services \$20.5 million – security guards and patrol services
 - b) \$18 million – janitorial services
 - c) \$38.5 million – passenger car rental
 - d) \$32.5 million – office machinery and equipment rental & leasing
 - e) \$7.5 million – general automotive repair

Annual receipts of a concern which has been in business for less than 3 complete fiscal years means the total receipts for the period the concern has been in business divided by the number of weeks in business, multiplied by 52. See [13 CFR 121.104](#).

B. Notice. Under [15 U.S.C. 645\(d\)](#), any person who misrepresents a firm’s status as a small business concern, a qualified HUBZone small business concern, a small business concern owned and controlled by socially and economically disadvantaged individuals, or a small business concern owned and controlled by women in order to obtain a contract to be awarded under the preference programs established pursuant to 15 U.S.C. sections 637(a), 637(d), 638, 644, or 657(a), shall:

1. Be punished by imposition of fine, imprisonment, or both;
2. Be subject to administrative remedies including suspension and debarment; and
3. Be ineligible for participation in a program conducted under the authority of the Small Business Investment Act of 1958.